

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Vinginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/550,326 Brink Chamberlain 53047-57365

INTERNATIONAL APPLICATION NO.

PCT/US04/16021

Benjamin L Volk Jr Thompson Coburn One Us Bank Plaza St Louis, MO 63101 I.A. FILING DATE PRIORITY DATE 05/21/2004 05/23/2003

CONFIRMATION NO. 2088
371 FORMALITIES LETTER

OC000000020480275

Date Mailed: 09/19/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/23/2005
- Copy of the International Search Report filed on 09/23/2005
- Preliminary Amendments filed on 09/23/2005
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- Specification filed on 09/23/2005
- Claims filed on 09/23/2005
- Abstracts filed on 09/23/2005
- Drawings filed on 09/23/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
 or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
 must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

DOCKETED SEP 2 5 2006 RECEIVED

SEP 25 2006

Cohurn Life Thompson Coburn LLP

Thompson Coburn Life

Total additional fees required for this application is \$190 for a Small Entity:

- \$65 Surcharge.
 - The specification and drawings contain more than 100 pages. Applicant owes \$125 for 5 pages in excess of 100 pages for a small entity in compliance with 37 CFR 1,27.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filling a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. | |
|-----------------------------|-------------------------------|------------------|--|
| 10/550,326 | PCT/US04/16021 | 53047-57365 | |

FORM PCT/DO/EO/905 (371 Formalities Notice)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF E-FILING

Benjamun L. Volk, Jr. Reg. No. 48,017

In re application of: Chamberlain et al.

Serial No.: 10/550,326

Filed: September 23, 2005

For: Intelligent Data Storage and Processing

Using FPGA Devices

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

LETTER TO PTO

Applicant notes that the list of assignees included with the original filing of this application included Washington University and Data Search Systems, Inc. (DSSI). DSSI has changed its name to Exegy Incorporated as noted on the enclosed copy of the name change documentation from the State of Missouri.

Applicant also notes that the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed September 19, 2006 erroneously identifies "Brink Chamberlain" as the first named applicant. Applicant respectfully requests that the first named applicant filing information be changed to Roger D. Chamberlain before a filing receipt is issued. A copy of the noted change is enclosed for your reference.

Respectfully submitted,

Benjamin L. Volk, Jr. Reg. No. 48,017

Thompson Coburn LLP

One US Bank Plaza

St. Louis, Missouri 63101

(314) 552-6000

(314) 552-7000 (fax)

- 2 -3497642



State of Missouri

Robin Carnahan, Secretary of State

Corporations Division P.O. Box 778 / 600 W. Main Street, Rm 322 Jefferson City, MO 65102 File Number: 200515821111 F00531186 Date Filed: 06/06/2005 Robin Carnahan Secretary of State

Application for an Amended Certificate of Authority for a Foreign Corporation

Submit with filing fee of \$25.00

| The b | clow cor | poration, relating to amending its certificate | of authority of Fo | reign Corporation, d | oes hereby state: | | |
|--|--|---|--------------------|-------------------------------|--|-------|--|
| (1) | Its na | me is: <u>Data Search</u> | System | 5 Incorpora | rted | | |
| and is | incorpo | rated in the State of: \ \&/a ward | 0 | | ; and it | | |
| was qu | ualified i | n the State of Missouri on | 7/8/20 | 03 | · | | |
| (2) | Ву ар | appropriate corporate action on: 2/14/05, the corporation: | | | | | |
| | (1) | Changed its corporate name to: | gy Incom | porated | | | |
| | | Name it will use in Missouri if new name | not available: | NA | | | |
| | (2) | Changed its period of duration to: | | | | | |
| | (3) | Changed the state or country of its incorporate | | | | | |
| (3) | There is attached hereto a Certificate of the Secretary of State of the State of Delaware relating to the amendment(s), set forth in item 2 above and showing that the Corporation is in existence and in good standing in said State. | | | | | | |
| (4) | The effective date of this document is the date it is filed by the Secretary of State of Missouri, unless you indicate a future date, as follows: | | | | | | |
| (Date may not be more than 90 days after the filing date in this office) | | | | | | | |
| | In Affi (The u | | made in this filin | g are subject to the p | enalties provided under Section 575. | .040, | |
| _ | Authoriz | ed Signature Printed Name | rupp_ | Title | manth day trage | | |
| | Attache ing cus | ed is an original current certificate attesting t tody of corporate records in the state or cour | o the change, dul | y authenticated by th ion. | e secretary of state or other official h | av- | |
| Name | and add | ress to return filed document: | | | | | |
| Name: | | | | | | | |
| | ss: State, and | Zip Code: | - | | State of Missouri State - Gen Bus 3 Page(s) | • | |
| | | | | | | | |
| | | | | | ###################################### | | |



PAGE

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "DATA SEARCH SYSTEMS, INCORPORATED", FILED A RESTATED CERTIFICATE, CHANGING ITS NAME TO "EXEGY INCORPORATED", THE TWENTY-THIRD DAY OF FEBRUARY, A.D. 2005, AT 5:27 O'CLOCK P.M.

Varriet Smith Hindson Harriet Smith Windson, Secretary of State

AUTHENTICATION: 3845386

DATE: 04-29-05

3638207 8320

050236243

Delaware

PAGE '

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "EXEGY INCORPORATED" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-NINTH DAY OF APRIL, A.D. 2005.



Darriet Smith Windson
Harriet Smith Windson, Secretary of State

AUTHENTICATION: 3845387

DATE: 04-29-05

3638207 8300

050236243

State of Missouri



Robin Carnahan Secretary of State

AMENDED CERTIFICATE OF AUTHORITY
OF A FOREIGN CORPORATION

WHEREAS,

EXEGY INCORPORATED F00531186

FORMERLY,

Data Search Systems, Inc.

incorporated under the laws of the State of Delaware and now in existence and in good standing in said State, and qualified to transact business in Missouri has delivered to me, duly authenticated evidence of an amendment to its Articles of Incorporation as provided by law, and has, in all respects, complied with the requirements of The General and Business Corporation Law of Missouri, governing Amendments to the Articles of Incorporation of Foreign Corporations, and in accordance therewith issue this Certificate of Amendment.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 6th day of June, 2005.

Polini Camahan

Secretary of State





United States Patent and Trademark Office

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